

COPY OF PAPERS

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PE TRANSMITTAL FORM (to be used or all correspondence after initial filing)			Application Number		10/004,168		
			Filing Date		October 30, 2001		
			First I	First Named Inventor		Ono, Makoto	
			Group	Art Unit	Unassigned		
7 & TRADEMIES			Exam	iner Name	Unassigned		
Total Number of Pages in This Submission 46		Attorn	ey Docket Number	16869P-	037300US		
		ENCLO	SURES	(check all that apply)			
Fee Transmittal	Form		ment Papers Application)		After Allowance Communication to Group		
Fee Attached	d	☐ Drawing	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	esponse	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information			
Affidavits/dec	claration(s)		to Convert to a chal Application		Status Letter		
Extension of Tim	e Request			of Attorney, Revocation e of Correspondence Address		Enclosure(s) identify below):	
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund		Return Postcard			
☐ Information Disclosure Statement		CD, Number of CD(s)		,	ener'		
Certified Copy of Priority Document(s)		Remar	The Commissioner is a Deposit Account 20-14:			charge any additional fees to	
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53						: : :	
	SIGNA	TURE OF A	PPLICA	ANT, ATTORNEY, OF	RAGENT	->	
Firm and	Townsend and Tov	vnsend and C	rew LLP		T		
Individual name	George B. F. Yee	Reg. No. 37,478					
Signature	Surge	e BAM					
Date January 15, 2002							
		CER	TIFICA	TE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 15, 2002							
Typed or printed nam	ne Cynthia McKir	nley		4			
Signature	1			Kee .	Date	January 15, 2002	

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PA 3195549 v1

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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SEE TRANSMITTAL	Complete if Known				
TPEFEE TRANSMITTAL	Application Number	10/004,168			
for FY 2001	Filing Date	October 30, 2001			
田山山	First Named Inventor	Ono, Makoto			
atent fees are subject to annual revision.	Examiner Name	Unassigned			
TRADEMINE TRADEMINE	Group Art Unit	Unassigned			
TOTAL AMOUNT OF PAYMENT (\$) 18	Attorney Docket No.	16869P-037300US			

TOTAL AMOUNT OF PAYMENT (\$) 18		HV LREAL	Attorney Docket No. 16869P-037300US			
METHOD OF PAYMENT		FEE CALCULATION (continued) 3. ADDITIONAL FEES				
1. The Commissioner is hereby authorized to clindicated fees and credit any over payments	narge 3. ADI	DITIONAL	. FEES			
	Large	Entity	Small	Entity		
Deposit 20 4420	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
Account 20-1430	105	130	205	65	Surcharge - late filing fee or oath	raiu
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	\dashv
Account Townsend and Townsend and Crew LLP	139	130	139	130	Non-English specification	
Name	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920°	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:	115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other	116	400	216	200	Extension for reply within second month	
FEE CALCULATION	117	920	217	460	Extension for reply within third month	\neg
1. BASIC FILING FEE	118	1,440	218	720	Extension for reply within fourth month	\neg
Large Entity Small Entity	128	1,960	228	980	Extension for reply within fifth month	\neg
Fee Fee Fee Fee Description Code (\$) Code (\$)	119	320	219	160	Notice of Appeal	
100	e Paid 120	320	220	160	Filing a brief in support of an appeal	
	121	280	221	140	Request for oral hearing	
107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 208 370 Reissue filing fee	140	110	240	55	Petition to revive - unavoidable	\neg
114 160 214 80 Provisional filing fee		1,280	241	640	Petition to revive – unintentional	
SUBTOTAL (1) (\$)	142	1,280	242	640	Utility issue fee (or reissue)	7
SUBTOTAL (1) (\$)	143	460		230	Design issue fee	
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee	
	ee 122	130	122	130	Petitions to the Commissioner	
Total Claims 21 -20** = 1 X \$18 =	Paid 123	50	123	50	Petitions related to provisional applications	
Independent Claims 6 -6** = 0 X \$84 =	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent X =	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Code (\$) Fee Description	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
(a) code (a)	149	740	249	370	For each additional invention to be	\dashv
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess					examined (37 CFR § 1.129(b))	
102 84 202 42 Independent claims in excess 104 280 204 140 Multiple dependent claim, if r		740	279	370 F	Request for Continued Examination (RCE)	
109 84 209 42 ** Reissue independent claim		900	169	900	Request for expedited examination	
original patent representation of the property of the propert		of a design application Other fee (specify)				
SUBTOTAL (2) (\$)18	The Cor	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.				
**or number previously paid, if greater; For Reissues, see above	*Reduce	ed by Bas	ic Filing	Fee Pai	id SUBTOTAL (3) (\$)	

SUBMITTED BY				C	omplete (if applicable)
Name (Print/Type)	George B. F. Yee	Registration No. (Attorney/Agent)	37,478	Telephone	650-326-2400
Signature	Garge	BIM		Date	January 15, 2002

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PTO/SB/17 (09-00) Approved for use through 10/31/2002. OMB 0651-0032

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for FY 20010 \ P

Patent fees are subject to annual r

or number previously paid, if greater; For Reissues, see above

VIEEB 0 7 2002

Complete If Known

Application Number 10/004,168

Filing Date October 30, 2001

First Named Inventor Ono, Makoto

Examiner Name Unassigned

Group Art Unit Unassigned

TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 16869P-037300US METHOD OF PAYMENT FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES \times 1. indicated fees and credit any over payments to: Entity Entity Fee Code Fee (\$) Deposit Fee Description (\$) Code Paid 20-1430 Account 105 130 205 65 Surcharge - late filing fee or oath Number 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. Deposit Account 139 130 Townsend and Townsend and Crew LLP 139 130 Non-English specification Name 147 2.520 147 2.520 For filing a request for reexamination Charge Any Additional Fee Required 112 9201 112 920* Requesting publication of SIR prior to Under 37 CFR 1.16 and 1.17 Examiner action Applicant claims small entity status. 113 1.840 113 1,840* Requesting publication of SIR after See 37 CFR 1.27 Examiner action Payment Enclosed: 2. 115 110 215 55 Extension for reply within first month 116 400 216 200 Extension for reply within second ☐ Check □ Credit card ☐ Money □ Other Order 117 920 217 460 Extension for reply within third month **FEE CALCULATION** 118 1,440 218 720 Extension for reply within fourth BASIC FILING FEE month Entity Large **Entity Small** 128 1,960 228 980 Extension for reply within fifth month Fee Fee 119 Fee Description 320 219 160 Notice of Appeal Code (\$) (\$) Code Fee Paid 120 320 220 160 Filing a brief in support of an appeal 101 740 201 370 Utility filing fee 121 280 221 140 Request for oral hearing 106 330 206 165 Design filing fee Petition to institute a public use 138 1,510 138 1,510 107 510 207 255 Plant filing fee proceeding 108 740 208 370 Reissue filing fee 140 110 240 55 Petition to revive - unavoidable 114 160 214 80 Provisional filing fee 141 1.280 241 640 Petition to revive - unintentional 142 1,280 242 Utility issue fee (or reissue) 640 SUBTOTAL (1) (\$) 143 460 243 Design issue fee 144 620 244 310 Plant issue fee 2. EXTRA CLAIM FEES 122 130 122 130 Petitions to the Commissioner Extra Fee from Fee Petitions related to provisional 123 50 123 50 Total Claims 21 -20* 1 x \$18 \$18 applications independent Submission of Information Disclosure 6 -6** 126 180 126 180 Claims 0 \$84 X \$0 Recording each patent assignment Multiple 581 40 581 40 Dependent per property (times number of **Entity** Large Small Entity 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a)) Fee Description Code (\$) Code (\$) 149 740 249 370 For each additional invention to be 103 18 203 Claims in excess of 20 examined (37 CFR § 1.129(b)) 102 84 202 42 Independent claims in excess of 3 179 740 279 370 Request for Continued Examination (RCE) 104 280 204 140 Multiple dependent claim, if not paid 169 ** Reissue independent claims over 900 169 900 Request for expedited examination 109 209 42 original patent of a design application ** Reissue claims in excess of 20 and Other fee (specify) 110 210 18 9 over original patent The Commissioner is authorized to charge any additional fees to SUBTOTAL (2) the above noted Deposit Account. (\$)18*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY		C	Complete (if applicable)			
Name (Print/Type)	George B. F. Yee	Registration No. (Attorney/Agent)	37,478	Telephone	650-326-2400	
Signature	Gange	BIM		Date	January 15, 2002	

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